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FM JOINT STAFF WASHINGTON DC//J7//

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UNCLAS SECTION 01 OF 02

MSGID/GENADMIN/JOINT STAFF//

SUBJ/PROGRAM DIRECTIVE FOR JOINT PUBLICATION 3-40, JOINT DOCTRINE FOR COUNTERPROLIFERATION (CP) OPERATIONS//

REF/A/DOC/26 APRIL 2000//

AMPN/25TH JOINT DOCTRINE WORKING PARTY, 26-27 OCT 2000 MINUTES//

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RMKS/1. PURPOSE: THIS MSG ASSIGNS UNITED STATES AIR FORCE (USAF) AS THE LEAD AGENT FOR JOINT PUBLICATION (JP) 3-40, JOINT DOCTRINE FOR COUNTERPROLIFERATION (CP) OPERATIONS. J5 IS ASSIGNED AS THE JOINT STAFF DOCTRINE SPONSOR.

2. BACKGROUND: DURING THE 26-27 APRIL 2000 JOINT DOCTRINE WORKING PARTY (JDWP), MEMBERS AGREED TO A REQUIREMENT TO DEVELOP JOINT DOCTRINE FOR CP, AND DESIGNATED IT AS JP 3-40. THE JDWP PROPOSED THAT:

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A. JP 3-40 WILL PROVIDE OVERARCHING GUIDANCE ON COUNTERPROLIFERATION.

B. JP 3-40 WILL BE "UNCLASSIFIED." IF CLASSIFIED INFORMATION IS ESSENTIAL TO JP 3-40, THIS INFORMATION WILL BE PUBLISHED IN CLASSIFIED APPENDICES VICE CLASSIFYING THE BASIC PUBLICATION.

C. JP 3-40 WILL BE DEVELOPED IN ACCORDANCE WITH STANDARD DOCTRINE DEVELOPMENT PROCEDURES.

D. JP 3-40 WILL APPLY TO THE EMPLOYMENT OF EXISTING MILITARY CAPABILITIES ACROSS THE RANGE OF MILITARY OPERATIONS.

3. SCOPE: THIS PUBLICATION SETS FORTH THE PRINCIPLES TO PLAN FOR AND CONDUCT MILITARY ASPECTS OF CP OPERATIONS. THIS INCLUDES THE EXISTING CP CAPABILITIES IN AREAS OF COUNTERFORCE, ACTIVE DEFENSE, PASSIVE DEFENSE, AND CONSEQUENCE MANAGEMENT. ADDITIONALLY, THIS PUBLICATION WILL ADDRESS MILITARY ACTIONS TO PREVENT PROLIFERATION AND MILITARY SUPPORT TO NONPROLIFERATION EFFORTS. JP 3-40 WILL PROVIDE GUIDANCE ON THE APPLICATION OF THESE PRINCIPLES TO JOINT, MULTINATIONAL, AND INTERAGENCY OPERATIONS. THIS PUBLICATION WILL COMPLEMENT JOINT DOCTRINE PROMULGATED IN JP 3-11, "JOINT DOCTRINE FOR OPERATIONS IN NUCLEAR, BIOLOGICAL, AND CHEMICAL (NBC) ENVIRONMENTS," 11 JULY 2000.

#### 4. CHAPTER OUTLINE:

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##### A. EXECUTIVE SUMMARY

##### B. CHAPTER I: OPERATIONAL CONTEXT

(1) THE OPERATIONAL ENVIRONMENT, TO INCLUDE THREATS, FOCUSING ON POTENTIAL ADVERSARIES IN POSSESSION OF, OR CAPABLE OF, ACQUIRING AND EMPLOYING NBC WEAPONS AND THEIR DELIVERY MEANS. IT WILL INCLUDE EXISTING CP CAPABILITIES IN COUNTERFORCE, ACTIVE DEFENSE, PASSIVE DEFENSE, AND CONSEQUENCE MANAGEMENT. AREAS NOT CONSIDERED IN JP 3-11 SUCH AS SUPPORT TO PREVENTIVE DIPLOMACY AND NONPROLIFERATION ACTIVITIES WILL BE SPECIFICALLY INCLUDED.

(2) FOUNDATIONS OF CP

(3) INFRASTRUCTURE PROTECTION

##### C. CHAPTER II: CP CONCEPT

(1) FRAMEWORK FOR CP OPERATIONS

(A) CONTINUOUS DETERRENCE

(B) ENHANCED DETERRENCE

(C) COMBAT OPERATIONS

(D) RESTORATION OPERATIONS

(2) FUNDAMENTALS OF COUNTERPROLIFERATION OPERATIONS

(3) EXISTING CAPABILITIES IN COUNTERFORCE, ACTIVE DEFENSE, PASSIVE DEFENSE, AND CONSEQUENCE MANAGEMENT.

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(4) COLLATERAL CAPABILITIES THAT ENABLE COUNTERPROLIFERATION OPERATIONS

##### D. CHAPTER III: PLANNING CP OPERATIONS

(1) INTEGRATING CP CONCEPTS INTO PLANS

(2) PLANNING FOR CP OPERATIONS

##### E. CHAPTER IV: ORGANIZING CP OPERATIONS

(1) RANGE OF CP OPERATIONS.

(2) CP CONSIDERATIONS FOR JOINT MILITARY OPERATIONS

(A) GENERAL (CP OBJECTIVES AS RELATED TO JOINT OPERATIONS)

(B) COMMAND AND CONTROL OF CP OPERATIONS AMONG COMMANDS AND NON-MILITARY AGENCIES

(C) JOINT CIVIL-MILITARY CONSIDERATIONS

(D) MULTINATIONAL AND INTERAGENCY CONSIDERATIONS

(E) OTHER CONSIDERATIONS

##### F. CHAPTER V: TRAINING AND EXERCISING FOR CP OPERATIONS

(1) ESSENTIAL ELEMENTS OF CP TRAINING

(2) CP IN JOINT EXERCISES

(3) INCORPORATION OF CP INTO PLANNING AND EXERCISE MODELS AND SIMULATIONS

(4) TRAINING AND LEADER DEVELOPMENT

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G. APPENDICES

APPENDIX A: REFERENCES

APPENDIX B: TREATY, LEGAL, AND POLICY OBLIGATIONS (WRITER'S DISCRETION)

APPENDIX C: CLASSIFIED APPENDIX. (TBD, IF NEEDED)

APPENDIX D: ADMINISTRATIVE INSTRUCTIONS

H. GLOSSARY

5. PRIMARY TARGET AUDIENCE FOR 3-40 SHOULD BE THE JCS, THE JOINT STAFF, THE COMBATANT COMMANDERS AND THEIR STAFFS, AND THE SERVICES. ALSO HAS APPLICATION TO COMPONENT COMMANDERS, SUB-UNIFIED COMMANDERS,

JOINT TASK FORCES, AND OTHER COMMANDS OR AGENCIES AS APPROPRIATE.

6. REFERENCE RELEVANT JOINT, MULTI-SERVICE, AND SERVICE DOCTRINE AND PROGRAM DIRECTIVES FOR PENDING PUBLICATIONS. IN ADDITION, CONSIDER THE FOLLOWING SOURCES OF INFORMATION:

A. LEGISLATION

(1) COOPERATIVE THREAT REDUCTION WITH STATES OF THE FORMER SOVIET UNION ACT OF 1993

(2) SUBSEQUENT DOD AUTHORIZATION AND APPROPRIATION ACTS

B. TREATIES AND AGREEMENTS

(1) NUCLEAR NON-PROLIFERATION TREATY

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(2) CHEMICAL WEAPONS CONVENTION

(3) BIOLOGICAL WEAPONS CONVENTION

(4) COMPREHENSIVE NUCLEAR TEST BAN TREATY

(5) MISSILE TECHNOLOGY CONTROL REGIME (MTCR)

C. PRESIDENTIAL DECISION DIRECTIVES (PDD)

(1) PDD 39

(2) PDD 62

(3) EXECUTIVE ORDER 12656, ASSIGNMENT OF EMERGENCY PREPAREDNESS RESPONSIBILITIES.

D. DEPARTMENT OF DEFENSE (DOD) PUBLICATIONS AND DIRECTIVES (DODD)

(1) JP 3-11

(2) DODD 3025.1, MILITARY SUPPORT TO CIVIL AUTHORITIES

(3) DODD 3025.15 MILITARY ASSISTANCE TO CIVIL AUTHORITIES

(4) DODD 2060.2 DEPARTMENT OF DEFENSE COUNTERPROLIFERATION (CP)

## IMPLEMENTATION

### (5) JOINT SERVICE CONCEPT FOR NBC DEFENSE

#### E. CHAIRMAN JOINT CHIEFS OF STAFF INSTRUCTIONS (CJCSI)

##### (1) CJCSI 5113.02A CJCS COUNTERPROLIFERATION CHARTER

##### (2) CJCSI 3110.16 MILITARY CAPABILITIES, ASSETS, AND UNITS FOR CONSEQUENCE MANAGEMENT OPERATIONS

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##### (3) CJCSI 3214.01, MILITARY SUPPORT TO FOREIGN CONSEQUENCE MANAGEMENT OPERATIONS

#### F. DOMESTIC PREPAREDNESS PLANS

##### (1) FEDERAL RESPONSE PLAN

##### (2) NATIONAL CONTINGENCY PLAN

##### (3) FEDERAL RADIOLOGICAL EMERGENCY RESPONSE PLAN

#### G. MULTINATIONAL AND INTER-SERVICE AGENCY AGREEMENTS

##### (1) ALLIANCE

##### (2) SERVICE TO SERVICE AGREEMENTS

#### 7. OTHER SOURCES OF INFORMATION TO BE CONSIDERED: THE WORK OF THE CP CAPSTONE REQUIREMENTS DOCUMENT (00-2000) WORKING GROUP.

ADDITIONALLY, THE ON GOING EFFORTS TO UPDATE RELATED CONPLANS, AND THE RELEASE OF THE CJCS MEMORANDUM FOR COUNTERPROLIFERATION STRATEGY

AND THE COUNTERPROLIFERATION END-TO-END STRATEGY.

#### 8. PROJECT DEVELOPMENT MILESTONES:

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DISTRIBUTE FIRST DRAFT: JUN 01

DISTRIBUTE SECOND DRAFT: NOV 01

DISTRIBUTE FINAL COORDINATION: MAY 02

APPROVAL: OCT 02

9. THE LEAD AGENT IS DIRECTED TO COORDINATE WITH THE SERVICES, COMBATANT COMMANDS, AND APPROPRIATE DEFENSE AGENCIES THROUGHOUT THE

DEVELOPMENT PROCESS. COMBATANT COMMANDS WILL HAVE THE OPPORTUNITY TO

PARTICIPATE IN WORKING GROUPS THROUGHOUT THE DEVELOPMENT PROCESS. THE LEAD AGENT WILL PROVIDE A LONG-RANGE SCHEDULE TO FACILITATE PARTICIPATION BY ALL CONCERNED.

10. JOINT PUB 1-02 TERMINOLOGY WILL BE USED TO THE GREATEST EXTENT POSSIBLE. NEW TERMS SHOULD ONLY BE USED WHEN ESSENTIAL TO THE DEVELOPMENT AND UNDERSTANDING OF THE PROPOSED DOCTRINE. THE JOINT ELECTRONIC LIBRARY ON-LINE SERVICES SHALL BE USED TO ENSURE THE LATEST APPROVED TERMINOLOGY IS USED.

11. POINTS OF CONTACT. THE LEAD AGENT IS THE USAF. POC IS CAPT AL

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